

PATENT 712-002-082/CC-0238

#5/LD)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re application of: M. A. Putnam et al. /

Serial No.: 09/519,802 /

Filed: March 6, 2000 /

For: TUNABLE OPTICAL STRUCTURE FEATURING FEEDBACK CONTROL -

Honorable Assistant Commissioner for Patents Washington D.C. 20231 Box Non-Fee Amendment RECEIVED
JUL 25 2000

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

This is a Supplemental Information Disclosure Statement that supplements an Information Disclosure Statement filed on July 11, 2000.1

Enclosed is a Form PTO-1449 listing prior art references, as well as a copy of each reference cited therein.

This Supplemental Information Disclosure Statement is being submitted before the mailing date of a first Official Action, therefore, the undersigned respectfully submits that no fee is due.

Debra A Pongetti

July 20, 2000

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231.

Serial No.: 09/519,802

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any document referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined under 37 CFR 1.56(a) exists.

The Commissioner is hereby authorized to charge to deposit account 23-0442 any fee deficiency in order to submit this Supplemental Information Disclosure Statement.

Respectfully submitted,

William J. Batter

Attorney for the Applicants Registration No. 32,720

/dap
July 19, 2000
WARE, FRESSOLA, VAN DER SLUYS
& ADOLPHSON LLP
Customer No. 004955
Bradford Green, Building Five
755 Main Street, P.O. Box 224
Monroe, CT 06468
(203) 261-1234